

Import LC Drawing User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Import LC Drawing

Drawings under Import LC is initiated on account of one of the following 2 scenarios:

1. SWIFT MT 750 received from the presenting bank requesting for applicant's waiver of discrepancies.
2. Receipt of documents under LC from the presenting bank where an MT 750 has not been sent already.

This section contains the following topics:

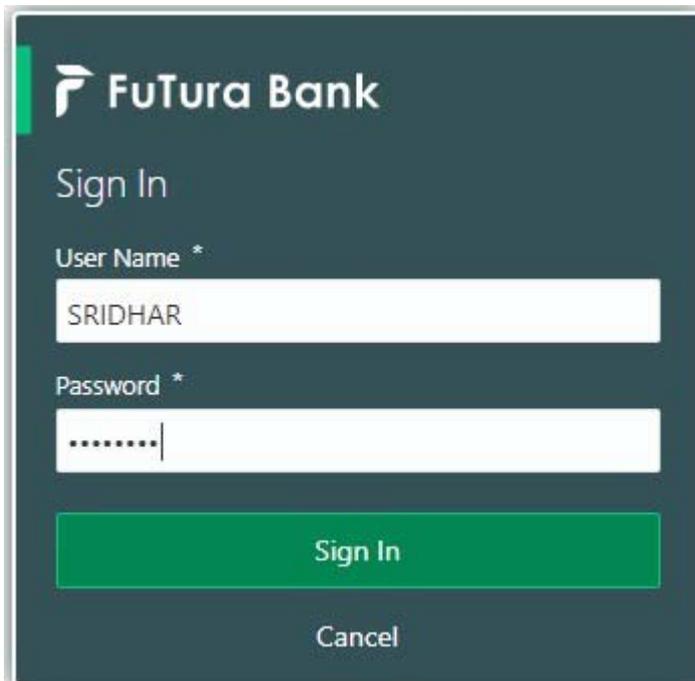
| | |
|--------------------------------------|---------------------------------|
| Registration | Scrutiny |
| Data Enrichment | Exceptions |
| Multi Level Approval | Reject Approval |

Registration

The process starts from Registration stage, if drawings are initiated on account of documents under LC received from presenting bank. No MT750 has been received from presenting bank in this scenario.

During registration stage, user can capture the basic details and upload the documents received from presenting bank. It also enables the user to capture some additional product related details as an option. On submit of the request the request will be available for an LC expert to handle the request in the next stage.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.



The screenshot shows a dark-themed login interface for FuTura Bank. At the top left is the FuTura Bank logo. Below it, the text 'Sign In' is displayed. There are two input fields: 'User Name *' containing the text 'SRIDHAR' and 'Password *' containing masked characters. Below these fields are two buttons: a green 'Sign In' button and a white 'Cancel' button.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard for user SHUBHAM displays the following widgets:

- Draft Confirmation Pending:** Table with columns Customer Name, Application Date, and Status. Data rows include EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** Table with columns Branch, Process Name, and Stage Name. Data row: Bank Futura, NA, Retry HandOf.
- Priority Details:** Table with columns Branch, Process Name, and Stage Name. Data rows include Bank Futura (NA, Amount Blo), Bank Futura (NA, Amount Blo), and 004 (NA, Loan Applic).
- High Value Transactions:** A bubble chart showing transaction values for GBP. The y-axis ranges from -20K to 140K, and the x-axis ranges from -2 to 12.
- SLA Breach Details:** Table with columns Customer Name, SLA Breached(mins), and Priority. Data rows include NA (23474, H, KEERTIV01), HSBC BANK (26667, M, SHUBHAM), WALL MART (23495, SHUBHAM), and EMR & CO (26780, M, GOPINATH01).
- Priority Summary:** Table with columns Branch, Process Name, and Stage Name. Data row: 203, Cucumber Testing, test descrip.
- Hold Transactions:** Table with columns Branch, Process Name, and Stage Name.
- SLA Status:** Cucumber Testing.
- Tasks Detailed:** Cucumber Testing.

3. Click Trade Finance> Import - Documentary Credit> Import LC Drawings.

The navigation menu for user SRIDHAR shows the following structure:

- Security Management
- Tasks
- Trade Finance
 - Bank Guarantee Advice
 - Bank Guarantee Issua...
 - Export - Documentary...
 - Import - Documentar...
 - Import LC Drawings- ...
 - Import LC Update Dra...
 - Import LC Amendment
 - Import LC Amendmen...
 - Import LC Drawings** (highlighted)
 - Import LC Drawings A...
 - Import LC Issuance
 - Import LC Liquidation
 - SWIFT 799 Handling
 - Virtual Account Manage...

The registration stage has two sections Basic Details and LC Details. Let's look at the details of registration screens below:

Application Details

FuTura Bank Free Tasks
FBN UK (GS1) Feb 1, 2019
SRIDHAR01
subham@gmail.com

Import LC Drawings
Documents Remarks

Application Details

| | | | |
|----------------------------------|------------------------------------|--------------------------|----------------|
| 20 - Documentary Credit Number * | Customer ID | Customer Name | Branch |
| GS1LUN190321501 | 000262 | EMR & CO | GS1-FBN UK |
| Process Reference Number | Priority | Submission Mode | Drawing Date * |
| GS1LCOR0023743 | Medium | Desk | Feb 1, 2019 |
| Presenting Bank * | Presenting Bank Reference Number * | Document Received Date * | |
| 000261 HSBCGB11XXX | 1234 | Feb 1, 2019 | |

View LC LC Events

LC Drawing Details

| | | | |
|----------------------|--------------------|----------------------------------|--------------------------|
| Tenor Type | Documents Received | Document Set | Duplicate |
| Sight | Yes | First | <input type="checkbox"/> |
| Outstanding LC Value | Product Code * | Product Description | Drawing Number |
| GBP £11,000.00 | ISLC | INCOMING SIGHT BILLS UNDER LC ON | GS1ISLC19032A001 |
| Drawing Amount * | Additional Amount | Limits Required | |
| GBP £10,000.00 | | <input type="checkbox"/> | |

Hold Cancel Save & Close Submit

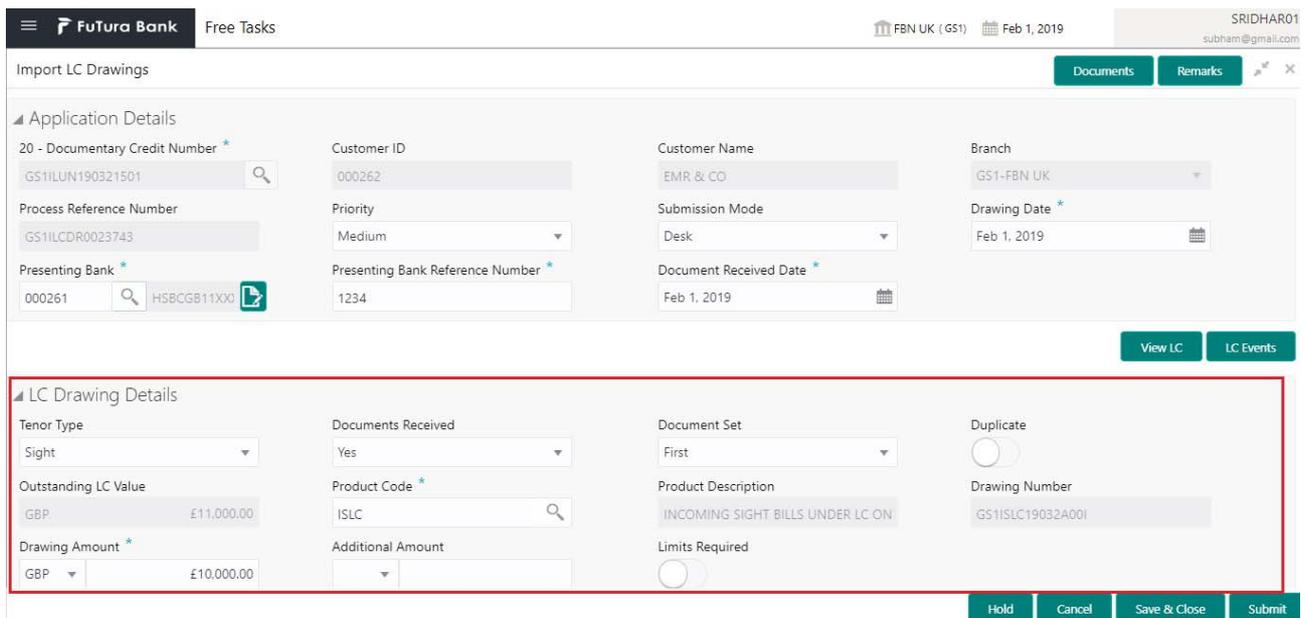
Provide the Basic Details based on the description in the following table:

| Field | Description | Sample Values |
|---------------------------|---|-----------------------------|
| Documentary Credit Number | Provide the documentary credit number. Alternatively, user can search the documentary credit number using LOV. In the LOV, user can input Customer ID, Beneficiary, Currency, Amount and User Reference to fetch the LC details. Based on the search result, select the applicable LC for drawing. | |
| Customer ID | Read only field. Customer ID will be auto-populated based on the selected LC from the LOV. | 001344 |
| Customer Name | Read only field. Customer Name will be auto-populated based on the selected LC from the LOV. | EMR & CO |
| Branch | Read only field. Branch details will be auto-populated based on the selected LC from the LOV. | 203-Bank Futura -Branch FZ1 |
| Process Reference Number | Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code. | |
| Priority | System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit. | High |

| Field | Description | Sample Values |
|----------------------------------|---|---------------|
| Submission Mode | Select the submission mode of Import LC Drawing request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk Courier - Request received through Courier | Desk |
| Drawing Date | By default, the application will display branch's current date and does not enables the user to change the date to any back date. | 04/13/2018 |
| Presenting Bank | Select the Presenting Bank. Click the look up icon to search the presenting bank based on Party ID/Party Name. You can also input the party ID and on tab out system will validate and populate the 'Presenting Bank' name. | |
| Presenting Bank Reference Number | User can enter the reference number provided by the presenting bank. | |
| Document Received Date | By default, the application will display branch's current date and enables the user to change the date to any back date.  Note Future date selection is not allowed. | |

LC Drawing Details

Registration user can provide drawing details in this section. Alternately, drawing details can be provided by Scrutiny user.



Application Details

20 - Documentary Credit Number *
GS1ILUN190321501

Customer ID
000262

Customer Name
EMR & CO

Branch
GS1-FBN UK

Process Reference Number
GS1ILCDR0023743

Priority
Medium

Submission Mode
Desk

Drawing Date *
Feb 1, 2019

Presenting Bank *
000261 HSB CG811XX

Presenting Bank Reference Number *
1234

Document Received Date *
Feb 1, 2019

LC Drawing Details

Tenor Type
Sight

Documents Received
Yes

Document Set
First

Duplicate

Outstanding LC Value
GBP £11,000.00

Product Code *
ISLC

Product Description
INCOMING SIGHT BILLS UNDER LC ON

Drawing Number
GS1ISLC19032A001

Drawing Amount *
GBP £10,000.00

Additional Amount
-

Limits Required

Buttons: Hold, Cancel, Save & Close, Submit

Provide the drawing LC Details based on the description in the following table:

| Field | Description | Sample Values |
|----------------------|--|---------------|
| Tenor Type | Select the Tenor Type from the LOV: <ul style="list-style-type: none"> • Sight • Usance • Mixed | |
| Documents Received | Set the document received status as 'Yes' or 'No' from the LOV. | |
| Document Set | Enables to user to select the number of sets of documents received from the LOV: <ul style="list-style-type: none"> • First • Second • Both | |
| Duplicate | If documents received are duplicate and if the drawing is already completed, user can mark this as duplicate and submit. | |
| Outstanding LC Value | Outstanding LC value will be auto-populated. This field displays the value as per the latest LC. | |
| Product Code | Select the product code for the drawing. | |
| Product Description | Read only field. This field displays the description of the product as per the product code. | |
| Drawing Number | This is auto generated by the back end system. | |
| LC Drawing Amount | Provide the amount to be drawn in this drawing. | |
| Additional Amount | This field enables user to provide any additional amount to be processed under the LC drawing. | |

Miscellaneous

Futura Bank Free Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01
subham@gmail.com

Import LC Drawings Documents Remarks

Application Details
 20 - Documentary Credit Number *
 GS1ILUN190321501
 Customer ID: 000262
 Customer Name: EMR & CO
 Branch: GS1-FBN UK
 Process Reference Number: GS1ILCDR0023743
 Priority: Medium
 Submission Mode: Desk
 Drawing Date: Feb 1, 2019
 Presenting Bank: 000261 HSBCEB11XXX
 Presenting Bank Reference Number: 1234
 Document Received Date: Feb 1, 2019

View LC LC Events

LC Drawing Details
 Tenor Type: Sight
 Documents Received: Yes
 Document Set: First
 Duplicate:
 Outstanding LC Value: GBP £11,000.00
 Product Code: ISLC
 Product Description: INCOMING SIGHT BILLS UNDER LC ON
 Drawing Number: GS1ISLC19032A001
 Drawing Amount: GBP £10,000.00
 Additional Amount:
 Limits Required:

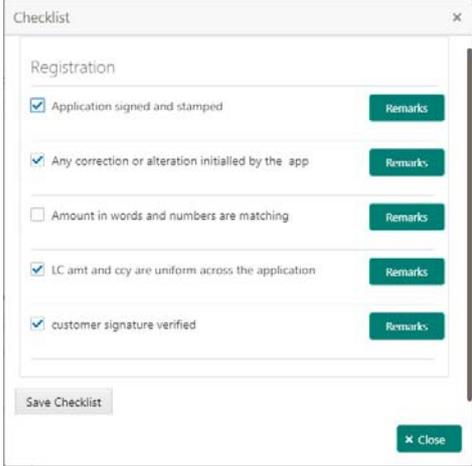
Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

| Field | Description | Sample Values |
|-----------|--|---------------|
| Documents | Upload the documents received under the LC. | |
| Remarks | Provide any additional information regarding the drawing. This information can be viewed by other users processing the request. | |
| View LC | Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields. | |
| LC Events | On click, system will display the details of LC issuance, amendments (if any), drawings (if any) and liquidations if any under the LC in chronological sequence from Issuance. | |

Action Buttons

| | | |
|--------------|--|--|
| Submit | On submit, task will get moved to next logical stage of Import LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request. | |
| Cancel | Cancels the Import LC Drawing Registration stage inputs. | |

| Field | Description | Sample Values |
|-----------|--|---------------|
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Checklist | <p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p>  | |

Scrutiny

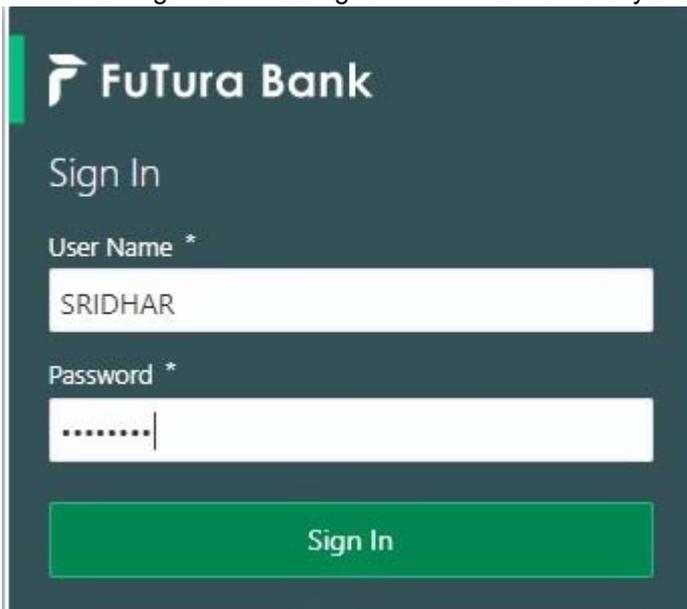
On successful completion of registration of an Import LC Drawing request, the request moves to scrutiny stage. At this stage the gathered information during registration are scrutinized.

Non-Online Channel - Import LC Drawing request that were received at the desk will move to scrutiny stage post successful registration. The requests will have the details entered during the registration stage.

Online Channel - If MT750 is received, the processing from starts from scrutiny stage and available data for all data segments from Registration stage to Data Enrichment stage would be auto populated.

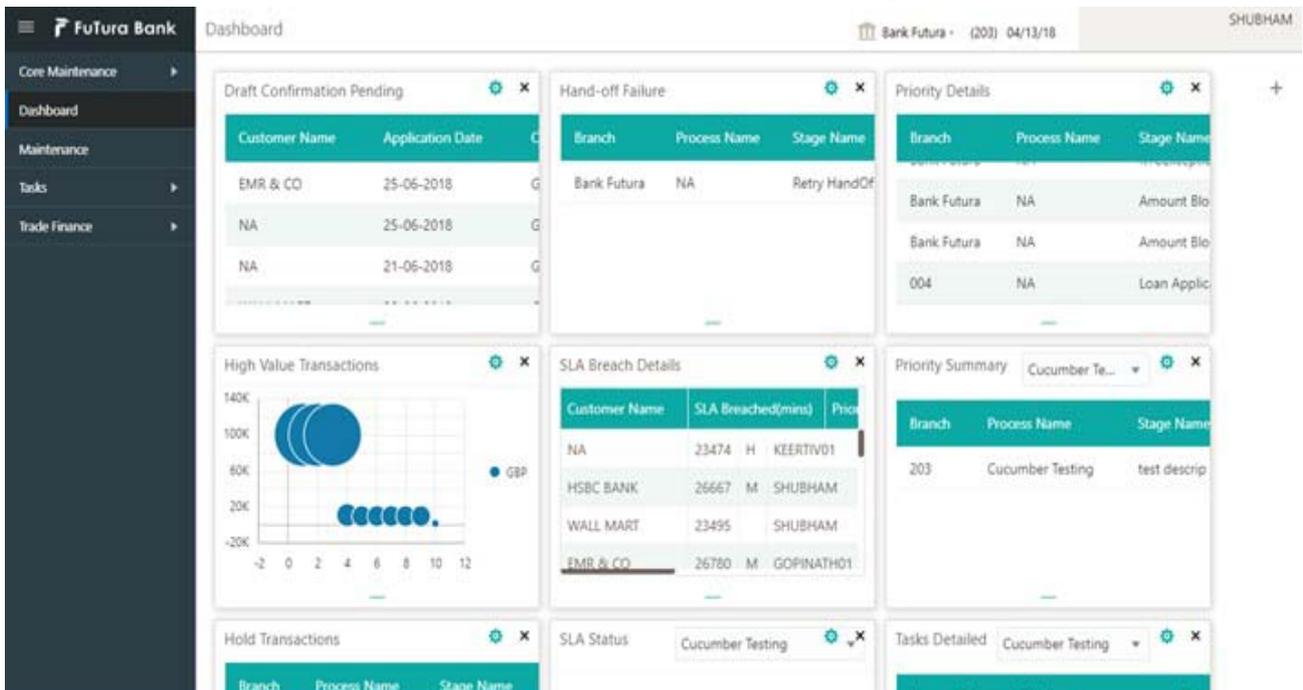
Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the bank's logo and name. Below the header, the text "Sign In" is displayed. There are two input fields: "User Name *" with the value "SRIDHAR" and "Password *" with masked characters. A green "Sign In" button is located at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



The image displays the FuTura Bank dashboard. On the left is a navigation menu with options like Core Maintenance, Dashboard, Maintenance, Tasks, and Trade Finance. The main area contains several widgets:

- Draft Confirmation Pending:** A table with columns Customer Name, Application Date, and a status column. Data rows include EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** A table with columns Branch, Process Name, and Stage Name. Data row: Bank Futura, NA, Retry HandOf.
- Priority Details:** A table with columns Branch, Process Name, and Stage Name. Data rows include Bank Futura, NA, Amount Blo; Bank Futura, NA, Amount Blo; and 004, NA, Loan Applic.
- High Value Transactions:** A bubble chart showing transaction values for GBP. The x-axis ranges from -2 to 12, and the y-axis from -20K to 140K.
- SLA Breach Details:** A table with columns Customer Name, SLA Breached(mins), and Priority. Data rows include NA (23474, H, KEERTIV01), HSBC BANK (26667, M, SHUBHAM), WALL MART (23495, SHUBHAM), and EMR & CO (26780, M, GOPINATH01).
- Priority Summary:** A table with columns Branch, Process Name, and Stage Name. Data row: 203, Cucumber Testing, test descrip.
- Hold Transactions:** A table with columns Branch, Process Name, and Stage Name.
- SLA Status:** A widget showing Cucumber Testing.
- Tasks Detailed:** A widget showing Cucumber Testing.

3. Click **Trade Finance> Tasks> Free Tasks**.

Free Tasks

Refresh Acquire Delegate Reassign Flow Diagram

| Action | Priority | Application Number | Branch | Customer Number | Amount | Process Name | Stage |
|----------------|----------|--------------------|--------|-----------------|------------|------------------------------|-----------------|
| Acquire & Edit | M | GS11LCDR0024232 | GS1 | 000343 | £10,000.00 | Import LC Drawing | Scrutiny |
| Acquire & Edit | M | GS11DCBK0024235 | 203 | 000262 | 0 | Import Documentary- Booki... | Data Enrichment |
| Acquire & Edit | M | GS11LCDR0024229 | GS1 | 000262 | £20,000.00 | Import LC Drawing | Scrutiny |
| Acquire & Edit | M | 2031LCIS0024215 | 203 | 000344 | £1,000.00 | Import LC Issuance | Scrutiny |
| Acquire & Edit | M | GS11DCBK0024213 | GS1 | 000262 | £2,000.00 | Import Documentary- Booki... | Data Enrichment |
| Acquire & Edit | M | GS11LCIS0024210 | GS1 | 000262 | £20,000.00 | Import LC Issuance | Scrutiny |

Page 1 of 1 (1-10 of 10 items) Previous 1 - 10 of 2855 records Next

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Refresh Acquire Delegate Reassign Flow Diagram

| Action | Priority | Application Number | Branch | Customer Number | Amount | Process Name | Stage |
|----------------|----------|--------------------|--------|-----------------|------------|------------------------------|-----------------|
| Acquire & Edit | M | GS11LCDR0024232 | GS1 | 000343 | £10,000.00 | Import LC Drawing | Scrutiny |
| Acquire & Edit | M | GS11DCBK0024235 | 203 | 000262 | 0 | Import Documentary- Booki... | Data Enrichment |
| Acquire & Edit | M | GS11LCDR0024229 | GS1 | 000262 | £20,000.00 | Import LC Drawing | Scrutiny |
| Acquire & Edit | M | 2031LCIS0024215 | 203 | 000344 | £1,000.00 | Import LC Issuance | Scrutiny |
| Acquire & Edit | M | GS11DCBK0024213 | GS1 | 000262 | £2,000.00 | Import Documentary- Booki... | Data Enrichment |
| Acquire & Edit | M | GS11LCIS0024210 | GS1 | 000262 | £20,000.00 | Import LC Issuance | Scrutiny |

Page 1 of 1 (1-10 of 10 items) Previous 1 - 10 of 2855 records Next

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

My Tasks

Refresh Release Flow Diagram

| Action | Priority | Application Number | Branch | Customer Number | Amount | Process Name | Stage |
|--------|----------|--------------------|--------|-----------------|------------|--------------------|--------------|
| Edit | M | GS11LCDR0024232 | GS1 | 000343 | £10,000.00 | Import LC Drawing | Scrutiny |
| Edit | M | GS1GTEIS0024006 | GS1 | 000262 | £27,000.00 | Guarantee Issuance | Registration |
| Edit | M | GS1GTEIS0024002 | GS1 | 000262 | £27,000.00 | Guarantee Issuance | Registration |

Page 1 of 1 (1-3 of 3 items) Previous 1 - 3 of 3 records Next

The scrutiny stage has eight sections as follows:

- Main Details
- Beneficiary Details
- Document Details
- Shipment Details
- Additional Conditions
- Discrepancy Details
- Maturity Details
- Additional Details
- Summary

Let's look at the details for scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

Main Details

Main details section has two sub section as follows:

- Application
- Application Details
- Drawing Details

Application

This section provides a quick snapshot of details of LC. This Application section will be available in all the sections of Scrutiny stage and the fields will be read only. This section is collapsible.

The screenshot displays the 'FuTura Bank' interface for 'Import LC Drawing - Scrutiny'. The main content area is titled 'Main Details' and contains three collapsible sections:

- Application :- 203ILCDR0018113** (highlighted with a red border):

| | | | |
|-----------|-------------------|--------------|-----------------------|
| Priority | Branch | Applicant | Beneficiary |
| Low | 203 - Bank Futura | 001344 - XXX | 001345 - XXX |
| Amount | Issue Date | Expiry Date | Advising/Issuing Bank |
| £1,000.00 | Apr 13, 2018 | May 31, 2018 | |
- Application Details**:

| | | | |
|----------------------------------|------------------------------------|-------------------------------|-----------------------------|
| 20 - Documentary Credit Number * | Received From - Customer ID | Received From - Customer Name | Branch |
| 203ELAC181032010 | 001345 | NESTLE | 203-Bank Futura -Branch FZ1 |
| Process Reference Number | Priority | Submission Mode | Drawing Date * |
| 203ILCDR0018113 | Low | Desk | Apr 13, 2018 |
| Presenting Bank * | Presenting Bank Reference Number * | Document Received Date * | |
| 001610 XXX | 203ILN47575ER | Apr 13, 2018 | |
- Drawing LC Details**:

| | | | |
|--------------|--------------------|--------------|-----------|
| Tenor Type * | Documents Received | Document Set | Duplicate |
|--------------|--------------------|--------------|-----------|

At the bottom of the interface, there is a navigation bar with buttons: **Reject**, **Hold**, **Cancel**, **Save & Close**, **Back**, and **Next**.

Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Following fields can be amended based on the description provided in the following table:

| Field | Description | Sample Values |
|----------------------------------|--|---------------|
| Priority | User can change the priority defaulted' Values are High, Medium and Low. | High |
| Submission Mode | Read only field. In case of non online channel, mode captured in Registration stage will be displayed. In case of MT 750, system will display the submission mode as 'SWIFT'. | Desk |
| Drawing Date | Non online channel - This field displays the value captured in Registration stage if any. SWIFT MT 750 - This field displays the date available in MT 750. | 04/13/2018 |
| Presenting Bank | System will display the details captured in Registration stage if already captured. SWIFT MT 750- System will populate the presenting bank details from incoming MT 750. | |
| Presenting Bank Reference Number | Non online channel - As the details are already captured in Registration, user can update the same. SWIFT MT 750. System will populate the presenting bank reference from the incoming SWIFT message. | |

| Field | Description | Sample Values |
|------------------------|---|---------------|
| Document Received Date | By default, the application will display branch's current date and enables the user to change the date to any back date.  Note Future date selection is not allowed. | |

LC Drawing Details

The fields listed under this section are same as the fields listed under the [LC Drawing Details](#) section in [Registration](#). Refer to [LC Drawing Details](#) for more information of the fields. During registration, if user has not captured input, then user can capture the details in this section.

The screenshot shows the 'Import LC Drawing - Scrutiny' interface. The 'LC Drawing Details' section is highlighted with a red box and contains the following fields:

- Tenor Type: Sight
- Documents Received: Yes
- Document Set: First
- Duplicate:
- Outstanding LC Value: GBP £11,000.00
- Product Code: ISLC
- Product Description: INCOMING SIGHT BILLS UNDER LC ON
- Drawing Number: GS1SLC19032A001
- Drawing Amount: GBP £10,000.00
- Additional Amount:
- Limits Required:

Following fields can be amended based on the description in the following table:

| Field | Description | Sample Values |
|--------------------|--|---------------|
| Tenor Type | Select the Tenor Type from the drop down: <ul style="list-style-type: none"> Sight Usance Mixed | |
| Documents Received | Set the document received status as 'Yes' or 'No' from the drop down. | |
| Document Set | Enables to user to select the number of sets of documents received from the LOV: <ul style="list-style-type: none"> First Second Both | |

| Field | Description | Sample Values |
|-------------------|---|---------------|
| Duplicate | If documents received are duplicate and if the drawing is already completed, user can mark this as duplicate and submit. | |
| Drawing Number | This is auto generated by the back end system. | |
| LC Drawing Amount | Provide the amount to be drawn in this drawing. | |
| Additional Amount | This field enables user to provide any additional amount to be processed under the LC drawing. In case of MT 750, system will populate the amount from the incoming message. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------------|---|---------------|
| Submit | Task will get moved to next logical stage of Import LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. In case of duplicate documents' system will terminate the process after handing off the details to back office. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request. | |
| Cancel | Cancel the Scrutiny stage inputs. | |
| Hold | The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. | |

| Field | Description | Sample Values |
|-------|--|---------------|
| Next | Click Next to move to next logical step in Scrutiny stage. | |

Beneficiary Details

Application

Refer to [Application](#).

Beneficiary Response Capture

As part of processing a drawing under Import LC, the scrutiny user can check if there are any amendments under the LC where beneficiary confirmation has not been captured so far. The user can check and update beneficiary response for amendment wherever applicable based on the documents.

If the documents presented are compliant, then the user will mark the particular amendment(s) as confirmed and if the documents are not compliant to the amendment(s) terms, then the user will mark that particular amendment as rejected by beneficiary.

Capture the information based on the description in the following table:

| Field | Description | Sample Values |
|------------------|---|---------------|
| Amendment Number | Read only field. Amendment number will be auto-populated based on selected LC using documentary credit number. | |
| Amendment Date | Read only field. Amendment Date will be auto-populated based on selected LC using documentary credit number. This field displays the date on which the amendment was made to LC. | |

| Field | Description | Sample Values |
|------------------------------|--|---------------|
| Beneficiary Consent Required | Read only field. Beneficiary Consent Required (Y/N) will be auto-populated based on selected LC using documentary credit number. | |
| Beneficiary Response | Select the beneficiary response from the LOV. <ul style="list-style-type: none"> • Confirmed • Rejected  <p>Note Beneficiary Response field will be read only if Beneficiary Consent Required is 'No'.</p> | |
| Remarks | Capture the remarks of the beneficiary response. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------------|---|---------------|
| Submit | Task will get moved to next logical stage of Import LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request. | |
| Cancel | Cancel the Scrutiny stage inputs. | |
| Hold | The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |

| Field | Description | Sample Values |
|--------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Next | Click Next to move to next logical step in Scrutiny stage. | |

Document Details

User can verify the documents received and identify discrepancies, if any.

Application

Refer to [Application](#).

Document Details

User can compare the document received with the required documents and identify the discrepancies, if any. Provide the information based on the description in the following table:

| Document Code | Document Reference Number | Copy | Original | Description | Received Copy | Received Original | Received Description | Discrepant | Discrepancy Code | Discrepancy Description |
|---------------|---------------------------|------|----------|--------------------------|---------------|-------------------|----------------------|-------------------------------------|------------------|-------------------------|
| INVDOC | CI-14042014 | 2 | 2/6 | COMMERCIAL INVOICE, DUE | 2 | 2 | | <input type="checkbox"/> | | |
| INSDOC | 124264 | 2 | 1/2 | INSURANCE POLICY/CERTIFR | 1 | 1 | | <input checked="" type="checkbox"/> | F03 | DESC |
| MARDOC | 6464164189 | 2 | 3/3 | CLEAN SEA WAYBILLS CONSI | 2 | 3 | | <input type="checkbox"/> | | |

| Field | Description | Sample Values |
|---------------------------|---|---------------|
| Document Code | Document code is auto-populated from the latest LC. | |
| Document Reference Number | Enables to user to provide the reference number available in the documents. | |
| Copy | Number of duplicate copies of documents as required in LC. | |
| Original | Number of documents in original as required in LC. | |
| Description | Description of the document required as per LC. | |
| Received Copy | Provide the number of duplicate copies of documents received. | |

| Field | Description | Sample Values |
|-------------------------|--|---------------|
| Received Original | Provide the number of originals of documents received. | |
| Received Description | Provide the description of the documents received from presenting bank. | |
| Discrepant | System marks the discrepant toggle as 'Yes' if there is difference between number of documents required and number of documents received. It also enables the user to make the changes, if any discrepancy identified in the document. | |
| Discrepancy Code | Select the discrepancy code based on the discrepancy identified. | |
| Discrepancy Description | Description will be displayed based on the discrepancy code. | |

Shipment Details

User must check whether the received documents of goods and shipment matches the requirement in LC.

Import LC Drawing - Scrutiny

Shipment Details

Application :- 2031LCDR0018145

Goods Details

| Goods Code | As Per LC | As Per Documents | Discrepant | Discrepant Code | Discrepant Description |
|------------|---|---------------------|-------------------------------------|-----------------|------------------------|
| COTTON | Import of POLO T-Shirts as per purchase order dated 1stAug 2018 | Import of POLO T-SI | <input checked="" type="checkbox"/> | | |

Shipment Details

Date of Shipment: Date of Presentation:

| Details | As Per LC | As Per Documents | Discrepant | Discrepant Code | Discrepant Description |
|----------------------------|--------------|------------------|-------------------------------------|-----------------|------------------------|
| Partial Shipment | NOT ALLOWED | CONDITIONAL | <input checked="" type="checkbox"/> | F01 | DESC |
| Trans Shipment | NOT ALLOWED | NOT ALLOWED | <input type="checkbox"/> | | |
| Place Of Taking Charge | | | <input type="checkbox"/> | | |
| Port Of Loading | NEWYORK | NEWYORK | <input type="checkbox"/> | | |
| Port Of Discharge | LONDON | LONDON | <input type="checkbox"/> | | |
| Place Of Final Destination | | | <input type="checkbox"/> | | |
| Latest Date Of Shipment | Oct 10, 2018 | Oct 18, 2018 | <input checked="" type="checkbox"/> | F04 | DESC |
| Shipment Period | | | <input type="checkbox"/> | | |
| Period Of Presentation | | | <input type="checkbox"/> | | |

Reject Hold Cancel Save & Close Back Next

Application

Refer to [Application](#).

Goods Details

Bank Futura - Br... (203) Apr 13, 2018 SRIDHAF

Import LC Drawing - Scrutiny

Documents Remarks View LC

Main Details
Beneficiary Details
Document Details
Shipment Details
Additional Conditions
Discrepancy Details
Additional Details
Summary

Shipment Details
Application :- 203ILCDR0018145

Goods Details

| Goods Code | As Per LC | As Per Documents | Discrepant | Discrepant Code | Discrepant Description |
|------------|---|---------------------|--------------------------|-----------------|------------------------|
| COTTON | Import of POLO T-Shirts as per purchase order dated 1stAug 2018 | Import of POLO T-SI | <input type="checkbox"/> | | |

Shipment Details

Date of Shipment Date of Presentation

| Details | As Per LC | As Per Documents | Discrepant | Discrepant Code | Discrepant Description |
|----------------------------|--------------|------------------|-------------------------------------|-----------------|------------------------|
| Partial Shipment | NOT ALLOWED | CONDITIONAL | <input checked="" type="checkbox"/> | F01 | DESC |
| Trans Shipment | NOT ALLOWED | NOT ALLOWED | <input type="checkbox"/> | | |
| Place Of Taking Charge | | | <input type="checkbox"/> | | |
| Port Of Loading | NEWYORK | NEWYORK | <input type="checkbox"/> | | |
| Port Of Discharge | LONDON | LONDON | <input type="checkbox"/> | | |
| Place Of Final Destination | | | <input type="checkbox"/> | | |
| Latest Date Of Shipment | Oct 10, 2018 | Oct 18, 2018 | <input checked="" type="checkbox"/> | F04 | DESC |
| Shipment Period | | | <input type="checkbox"/> | | |
| Period Of Presentation | | | <input type="checkbox"/> | | |

Reject Hold Cancel Save & Close Back Next

Provide the Goods Details based on the description in the following table:

| Field | Description | Sample Values |
|-------------------------|--|---------------|
| Goods Code | This field displays goods code as per latest LC. | |
| As per LC | This field displays description of the goods as per the latest LC. | |
| As per Documents | Provide the description of the goods as per the documents. | |
| Discrepant | User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received. | |
| Discrepancy Code | Select the discrepancy code based on the discrepancy identified. | |
| Discrepancy Description | Description will be displayed based on the discrepancy code. | |
| Country of Origin | Provide the country of origin as per the documents received. | |
| Insurance Company | Provide the details of insurance company as per the document received. | |

| Field | Description | Sample Values |
|---------------------------|---|---------------|
| Insurance Company Address | Provide the insurance company address as per the document received. | |

Shipment Details

Import LC Drawing - Scrutiny

Application :- 2031LCDR0018145

Goods Details

| Goods Code | As Per LC | As Per Documents | Discrepant | Discrepant Code | Discrepant Description |
|------------|---|---------------------|--------------------------|-----------------|------------------------|
| COTTON | Import of POLO T-Shirts as per purchase order dated 1stAug 2018 | Import of POLO T-SI | <input type="checkbox"/> | | |

Shipment Details

Date of Shipment: Date of Presentation:

| Details | As Per LC | As Per Documents | Discrepant | Discrepant Code | Discrepant Description |
|----------------------------|--------------|------------------|-------------------------------------|-----------------|------------------------|
| Partial Shipment | NOT ALLOWED | CONDITIONAL | <input checked="" type="checkbox"/> | F01 | DESC |
| Trans Shipment | NOT ALLOWED | NOT ALLOWED | <input type="checkbox"/> | | |
| Place Of Taking Charge | | | <input type="checkbox"/> | | |
| Port Of Loading | NEWYORK | NEWYORK | <input type="checkbox"/> | | |
| Port Of Discharge | LONDON | LONDON | <input type="checkbox"/> | | |
| Place Of Final Destination | | | <input type="checkbox"/> | | |
| Latest Date Of Shipment | Oct 10, 2018 | Oct 18, 2018 | <input checked="" type="checkbox"/> | F04 | DESC |
| Shipment Period | | | <input type="checkbox"/> | | |
| Period Of Presentation | | | <input type="checkbox"/> | | |

Buttons: Reject, Hold, Cancel, Save & Close, Back, Next

Provide the Shipment Details based on the description in the following table:

| Field | Description | Sample Values |
|----------------------|---|---------------|
| Date of Shipment | Provide the date of shipment as per the documents received. | |
| Carrier Name | Provide the carrier name as per the document received. | |
| Shipping Agent | Provide the shipping agent details based on the documents received. | |
| Date of Presentation | Read only field. Auto-populated based on the system date. | |
| Details | Details represent the fields in latest LC. | |
| As per LC | This field displays description of the fields as per the latest LC. | |

| Field | Description | Sample Values |
|-------------------------|--|---------------|
| As Per Document | Provide the description of the field as per document. | |
| Discrepant | User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received. | |
| Discrepancy Code | Select the discrepancy code based on the discrepancy identified. | |
| Discrepancy Description | Description will be displayed based on the discrepancy code. | |

Carrier Details

During shipment, if goods are shipped using multiple ships on its way or any transit ports, the details must be captured in this section.

| Field | Description | Sample Values |
|--------------|---|---------------|
| Carrier Name | Provide the details of the carrier as per the documents received, if the goods got shipped via multiple carriers. | |
| Port | Provide the port details as per the document received, if the ship has transit on its way to the destination. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------------|--|---------------|
| Submit | On successful submission, task moves to next logical stage of Import LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request. | |
| Cancel | Cancel the Import LC Drawing scrutiny stage inputs. | |

| Field | Description | Sample Values |
|--------|---|---------------|
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Next | <p>Click Next to move to next logical step in Scrutiny stage.</p> | |

Additional Conditions

Enables the user to check the whether the documents received comply with the additional conditions mentioned in LC.

Application

Refer to [Application](#).

Additional Conditions

Import LC Drawing - Scrutiny :: Application No: GS1ILCDR0023743

Additional Conditions

| Select | FFT Code | FFT Description | Discrepant | Discrepant Code | Discrepant Description |
|--------------------------|-------------|-----------------|-------------------------------------|-----------------|------------------------|
| <input type="checkbox"/> | 47A32000FFT | | <input checked="" type="checkbox"/> | BOL | BILL C |
| <input type="checkbox"/> | 71BCHARGES | | <input type="checkbox"/> | | |

Instruction Details

| Select | Instruction Code | Instruction Description |
|--------------------------|------------------|-------------------------|
| <input type="checkbox"/> | FFT4 | FFT4 |

Buttons: Reject, Hold, Cancel, Save & Close, Back, Next

This section displays the list of additional conditions as per LC. Provide the Additional Conditions details as per the description in the following table:

| Field | Description | Sample Values |
|-------------------------|--|---------------|
| FFT Code | This field displays the FFT code as per the latest LC. | |
| FFT Description | This field displays the description of the FFT code as per the latest LC. | |
| Discrepant | User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received. | |
| Discrepancy Code | Select the discrepancy code based on the discrepancy identified. | |
| Discrepancy Description | Description will be displayed based on the discrepancy code. | |

Instruction Details

User can capture one of the applicable instruction codes for the drawing. System will populate the instruction description for the selected instruction code.

| Field | Description | Sample Values |
|-------------------------|--|---------------|
| Instruction Code | Select the applicable instruction code for the drawing. | |
| Instruction Description | This field displays the instruction description based on the instruction code. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------------|--|---------------|
| Submit | On successful submission, task moves to next logical stage of Import LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request. | |
| Cancel | Cancel the Import LC Drawing scrutiny stage inputs. | |
| Hold | The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |

| Field | Description | Sample Values |
|--------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Next | Click Next to move to next logical step in Scrutiny stage. | |

Discrepancy Details

This section displays the list of discrepancies captured and enables the user to modify the discrepancies.

Application

Refer to [Application](#).

Discrepancy Details

User can add/update/review the discrepancies identified in the section.

Import LC Drawing - Scrutiny :: Application No: GS1LCDR0023743

Discrepancy Details

| Discrepancy Code | Discrepancy Description | Discrepancy Resolved | Resolved Date | Resolved Remarks |
|------------------|-------------------------|--------------------------|---------------|------------------|
| BOL | BILL OF LADING NOT SUBI | <input type="checkbox"/> | | |
| BOL | BILL OF LADING NOT SUBI | <input type="checkbox"/> | | |

Discrepancy Tracer

Tracer Required:

Tracer Frequency: Daily

Tracer Medium: Mail

Tracer Receiver Party: Beneficiary

Tracer Start Date: May 7, 2019

Buttons: Reject, Hold, Cancel, Save & Close, Back, Next

| Field | Description | Sample Values |
|------------------|--|---------------|
| Discrepancy Code | Select the discrepancy code based on the discrepancy identified. | |

| Field | Description | Sample Values |
|-------------------------|--|---------------|
| Discrepancy Description | Description will be displayed based on the discrepancy code. | |
| Discrepancy Resolved | Set the toggle to 'Yes', if discrepancy is resolved. | |
| Resolved Date | Set the date when discrepancy is resolved. | |
| Resolved Remarks | Provide remarks for discrepancy resolution. | |

Discrepancy Tracer

User can trace the response for the discrepancies identified.

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Tracer Required | Toggle on - Switch on the toggle to capture the tracer details. Toggle off - Switch of the toggle, if user does not require to capture tracer details. | |
| Tracer Frequency | System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc. | |
| Tracer Medium | Select the tracer medium from the LOV: <ul style="list-style-type: none"> • Mail • Email • Swift | |
| Tracer Receiver Party | Read only field. 'Applicant' will be defaulted as tracer receiver party. | |
| Tracer Start Date | Capture the tracer start date. If the date is earlier than system date, system to display an error message. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------------|---|---------------|
| Submit | <p>On successful submission, task moves to next logical stage of Import LC Drawing.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p> | |
| Cancel | <p>Cancel the Import LC Drawing scrutiny stage inputs.</p> | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Next | <p>Click Next to move to next logical step in Scrutiny stage.</p> | |

Maturity Details

This field displays the maturity details and this tile will be disabled, if the tenor for the drawing is 'Sight'.

Provide the maturity details based on the description in the following table:

| Field | Description | Sample Values |
|------------------------|--|---------------|
| Tenor Type | Read only field. This field displays the tenor type as per LC. | |
| Tenor Basis | Provide the tenor basis, if the tenor is not sight. | |
| Tenor Start Date | Provide the tenor start date. | |
| Tenor Days | Provide the number of tenor days | |
| Transit Days | Provide the transit days, if the tenor is sight. | |
| Maturity date | System displays the due date for the drawing based on tenor and tenor basis. If tenor is sight, system will calculate the maturity date as 5 working days from document Received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date. If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date. | |
| Usance Interest Rate | Provide the usance interest rate. | |
| Usance Interest Amount | Provide the usance interest amount for the LC value as per the tenor basis. | |

In case of multi tenor, user can provide multiple maturity details by clicking the plus icon.

| Field | Description | Sample Values |
|-----------------------------|--|----------------------|
| Interest from Date | Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date. | |
| Tenor Basis | Provide the tenor basis, if the tenor is not sight. | |
| Accept Commission From Date | Provide the accept commission from date. | |
| Accept Commission To Date | Provide the accept commission to date. | |

Additional Details

FuTura Bank My Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Import LC Drawing - Scrutiny :: Application No: GS1ILCDR0023743 Documents Remarks View LC

Screen (8 / 9)

- Main Details
- Beneficiary Details
- Document Details
- Shipment Details
- Additional Conditions
- Discrepancy Details
- Maturity Details
- Additional Details**
- Summary

Additional Details

Revolving

Revolving : **No**

Revolving In :

Revolving Units :

Limit & Collateral

Limit Currency : **GBP**

Limit Contribution : **11000**

Limit Status : **Not Verified**

Collateral Currency : **GBP**

Collateral Contribution : **1100**

Collateral Status : **Not Applicable**

Charge Details

Charge :

Commission :

Tax :

Block Status :

Reject Hold Cancel Save & Close Back Next

Revolving Details

Revolving

| | | | |
|---------------------------------------|--------------------------|--------------------------|----------------------|
| Revolving | Revolving In | Revolving Frequency | Revolve Units |
| <input type="text" value="No"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Next Reinstatement Date | Cummulative | Automatic Reinstatement | |
| <input type="text" value="mm/dd/yy"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

| Field | Description | Sample Values |
|---------------------|--|---------------|
| Revolving | Read only field. Displays the LC is revolving or non-revolving. | |
| Revolving In | Read only field. The LC can revolve with Time or Units. | |
| Revolving Frequency | Read only field. This field captures the frequency in days and months by which the LC revolves. | |
| Revolving Units | Read only field. This field captures the units by which the LC revolves. | |

| Field | Description | Sample Values |
|-------------------------|--|---------------|
| Next Reinstatement Date | Read only field. This field defaults the date of next reinstatement for the LC based on the revolving frequency selected. | |
| Cumulative | Read only field. This field is a toggle to indicate if the LC value has to be cumulative or not on reinstatement. | |
| Automatic Reinstatement | Read only field. This field enables to have automatic reinstatement on the reinstatement day without manual intervention. | |

Limits & Collateral

Limit & Collateral

Limit Details

| Customer ID | Line ID | Contribution % | Contribution Currency | Contribution Amount | Limit Check Response | Response Message |
|---------------------------------|---------|----------------|-----------------------|---------------------|----------------------|--|
| <input type="checkbox"/> 001345 | 001345 | 100 | GBP | £20,000.00 | Available | The Earmark can be performed as the fa |

Collateral Details

| Collateral Type | Collateral % | Currency | Contribution Amount | Settlement Account | Account Balance Check Response | Response Message |
|--|--------------|----------|---------------------|---------------------|--------------------------------|------------------------------|
| <input type="checkbox"/> Cash Collateral | 10 | GBP | £2,000.00 | 2030013450000000010 | Available | The amount block can be perf |

Save & Close Cancel

Limit Details

Customer ID: 001345

Line ID*: 001345

Contribution %*: 100

Contribution Currency: GBP

Limit Currency: GBP

Limit Check Response: Available

Limits Description:

Contribution Amount*: £20,000.00

Limit Available Amount:

Response Message: The Earmark can be performed as the f

Verify

Save & Close Cancel

| Field | Description | Sample Values |
|---------------|---|---------------|
| Limit Details | Read only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted. | |
| Line ID | Read only field. LINE ID-DESCRIPTION will be available along with Line ID. | |

| Field | Description | Sample Values |
|------------------------|---|---------------|
| Contribution | System will default this to 100%. | |
| Contribution Currency | The LC currency will be defaulted in this field. | |
| Contribution Amount | Contribution amount will default based on the contribution %. | |
| Limit Currency | Limit Currency will be defaulted in this field. | |
| Limit Available Amount | This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. | |
| Limit Check Response | Response can be 'Success' or 'Limit not Available'. | |
| Response Message | Detailed Response message. | |

This section displays the collateral details:

Limit & Collateral ×

▲ Limit Details 📄 + -

| Customer ID | Line ID | Contribution % | Contribution Currency | Contribution Amount | Limit Check Response | Response Message |
|---------------------------------|---------|----------------|-----------------------|---------------------|----------------------|--|
| <input type="checkbox"/> 001345 | 001345 | 100 | GBP | £20,000.00 | Available | The Earmark can be performed as the fa |

▲ Collateral Details 📄 + -

| Collateral Type | Collateral % | Currency | Contribution Amount | Settlement Account | Account Balance Check Response | Response Message |
|--|--------------|----------|---------------------|---------------------|--------------------------------|------------------------------|
| <input type="checkbox"/> Cash Collateral | 10 | GBP | £2,000.00 | 2030013450000000010 | Available | The amount block can be perf |

Collateral Details
✕

Collateral Type *
Cash Collateral ▼

Currency
GBP

Settlement Account *
2030013460000000017 🔍

Settlement Account Currency
GBP

Response
Available

Collateral % *
20 ▼ ▲

Contribution Amount *
£4,000.00

Settlement Account Branch
203

Account Available Amount
£998,926,760.53

Response Message
The amount block can be performed as

Verify

✔ Save & Close
✕ Cancel

| Field | Description | Sample Values |
|-----------------------------|--|---------------|
| Collateral Type | Cash Collateral (CASA) will be the default value available as collateral type. Selected collateral type will be displayed in this field. | |
| Collateral % | This field displays the percentage of collateral. | |
| Currency | The LC currency will get defaulted in this field. | |
| Contribution Amount | Collateral contribution amount will get defaulted in this field. | |
| Settlement Account | This field displays the details of settlement account for then collateral. | |
| Settlement Account Branch | Settlement Account Branch will be auto-populated based on the Settlement Account selection. | |
| Settlement Account Currency | This field displays the Settlement Account Currency. | |
| Account Available Amount | Account Available Amount will be auto-populated based on the Settlement Account selection. | |
| Response | Response can be 'Success' or 'Amount not Available'. | |
| Response Message | Detailed Response message. | |

Charge Details

This section displays charge details:

Charge Details >

Recalculate
Redefault

▲ Charge Details

| Component | Currency | Amount | Modified | Billing | Defer | Waive | Charge Party | Settlement Account |
|-----------|----------|--------|----------------------|--------------------------|--------------------------|--------------------------|--------------|--|
| LCCOURISS | GBP | £50.00 | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Applicant | 2030013460000000017 <input type="text"/> |
| LCSWIFTIS | GBP | £50.00 | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Applicant | 2030013460000000017 <input type="text"/> |
| OTHBNKCHG | GBP | £50.00 | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Applicant | 2030013460000000017 <input type="text"/> |

▲ Commission Details

| Component | Rate | Currency | Amount | Modified | Defer | Waive |
|-----------|------|----------|--------|----------|-------|-------|
| | | | | | | |

✔ Save & Close
✘ Cancel

| Field | Description | Sample Values |
|--------------------|---|---------------|
| Component | Charge Component type. | |
| Currency | Defaults the currency in which the charges have to be collected. | |
| Amount | An amount that is maintained under the product code gets defaulted in this field. | |
| Modified Amount | From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field. | |
| Billing | If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. | |
| Defer | If charges have to be deferred and collected at any future step, this check box has to be selected. | |
| Waive | If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. | |
| Charge Party | Charge party will be applicant by default. You can change the value to beneficiary | |
| Settlement Account | Details of the settlement account. | |

This section displays the commission details:

Charge Details

Commission Details

| Component | Rate | Currency | Amount | Modified | Defer | Waive |
|-------------|------|----------|------------|----------------------|--------------------------|--------------------------|
| AILS_N_COMM | 1.5 | GBP | \$1,900.00 | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Tax Details

| Component | Currency | Amount | Settlement Account |
|-----------|----------|--------|----------------------|
| LCTAX2 | GBP | 95 | 20300134600000000017 |
| LCTAX | GBP | 1600 | 20300134600000000017 |
| LCTAX1 | GBP | 0 | 20300134600000000017 |

Save & Close Cancel

| Field | Description | Sample Values |
|--------------------|--|---------------|
| Component | This field displays the commission component. | |
| Rate | Defaults from product. | |
| Currency | Defaults the currency in which the commission needs to be collected | |
| Amount | An amount that is maintained under the product code defaults in this field. | |
| Modified Amount | From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field. | |
| Billing | If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. | |
| Defer | If check box is selected, charges/commissions has to be deferred and collected at any future step. | |
| Waive | Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder. | |
| Charge Party | Charge party will be 'Applicant' by Default. You can change the value to Beneficiary | |
| Settlement Account | Details of the Settlement Account. | |

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Charge Details

▲ Commission Details

| Component | Rate | Currency | Amount | Modified | Defer | Waive |
|-------------|------|----------|------------|--------------------------|--------------------------|--------------------------|
| AILS_N_COMM | 1.5 | GBP | \$1,900.00 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

▲ Tax Details

| Component | Currency | Amount | Settlement Account |
|-----------|----------|--------|----------------------|
| LCTAX2 | GBP | 95 | 20300134600000000017 |
| LCTAX | GBP | 1600 | 20300134600000000017 |
| LCTAX1 | GBP | 0 | 20300134600000000017 |

| Field | Description | Sample Values |
|--------------------|--|---------------|
| Component | Tax Component type | |
| Currency | The tax currency is the same as the commission. | |
| Amount | The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required. | |
| Settlement Account | Details of the settlement account. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------------|---|---------------|
| Submit | Task will get moved to next logical stage of Import LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request | |
| Cancel | Cancel the Scrutiny stage inputs. | |
| Hold | The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |

| Field | Description | Sample Values |
|--------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Next | Click Next to move to next logical step in Scrutiny stage. | |

Summary

User can review the summary of details updated in scrutiny Import LC Drawing request.

The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

Summary

| Segment | Field | Value | Status |
|-----------------------|---------------------|-----------------|--------|
| Main Details | Product Code | : ISLC | ✓ |
| | Currency | : GBP | ✓ |
| | Amount | : 10000 | ✓ |
| Beneficiary Details | Sequence Number 1 | : | ✓ |
| | Sequence Number 2 | : | ✓ |
| Document Details | Document 1 | : AIRDOC | ✓ |
| | Document 2 | : BOL | ✓ |
| | Document 6 | : INSDOC | ✓ |
| | doc4 | : MARDOC | ✓ |
| | doc5 | : PACKINGLIST | ✓ |
| Shipment Details | Goods Code | : | ✓ |
| | Goods Description | : | ✓ |
| Additional Conditions | FFT Code 1 | : 47A32000FFT | ✓ |
| | FFT Code 2 | : 71BCHARGES | ✓ |
| Discrepancy Details | Discrepancy Code 1 | : | ✓ |
| | Discrepancy Code 2 | : | ✓ |
| Maturity Details | Tenor Type | : Sight | ✓ |
| | Tenor Basis | : | ✓ |
| | Maturity Date | : | ✓ |
| Additional Details | Revolving | : NO | ✗ |
| | Revolving In | : | ✗ |
| | Revolving Frequency | : | ✗ |
| Additional Details | Limit Currency | : GBP | ✗ |
| | Limit Contribution | : 11000 | ✗ |
| | Limit Status | : Not Verified | ✗ |
| | Collateral Currency | : GBP | ✗ |
| | Collateral Contr. | : 1100 | ✗ |
| Party Details | Drawee | : EMR & CO | ✓ |
| | Drawer | : NESTLE | ✓ |
| Additional Details | Charge | : | ✗ |
| | Commission | : | ✗ |
| Additional Details | Tax | : | ✗ |
| | Block Status | : Not Initiated | ✗ |
| Additional Details | Advising Bank | : CITIBANK NY | ✓ |
| | Presenting Bank | : HSBC BANK | ✓ |
| | Charge | : | ✗ |

Navigation: Reject, Hold, Cancel, Save & Close, Back, Next, Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Beneficiary Details - User can view beneficiary details.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Additional Conditions - User can view additional conditions of the drawing.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Revolving Details - User can view revolving details on revolving LC.

Action Buttons

Use action buttons based on the description in the following table:

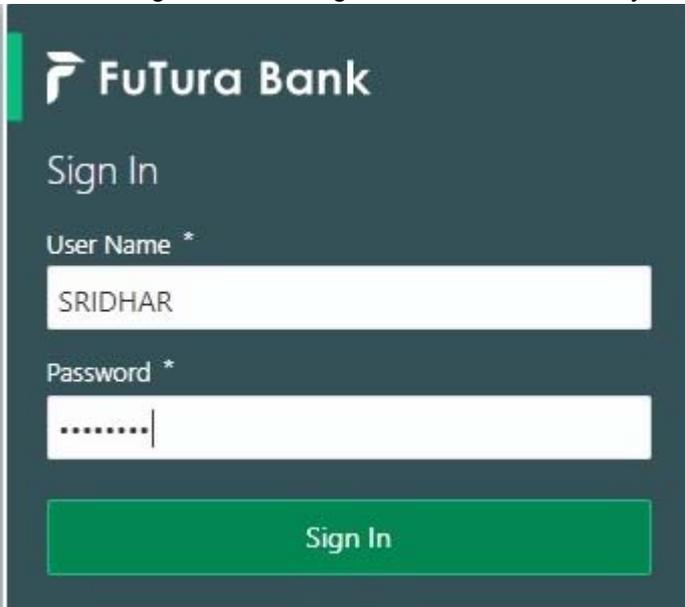
| Field | Description | Sample Values |
|--------------|---|---------------|
| Submit | Task will get moved to next logical stage of Import LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request | |
| Cancel | Cancel the Scrutiny stage inputs. | |
| Hold | The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. | |

Data Enrichment

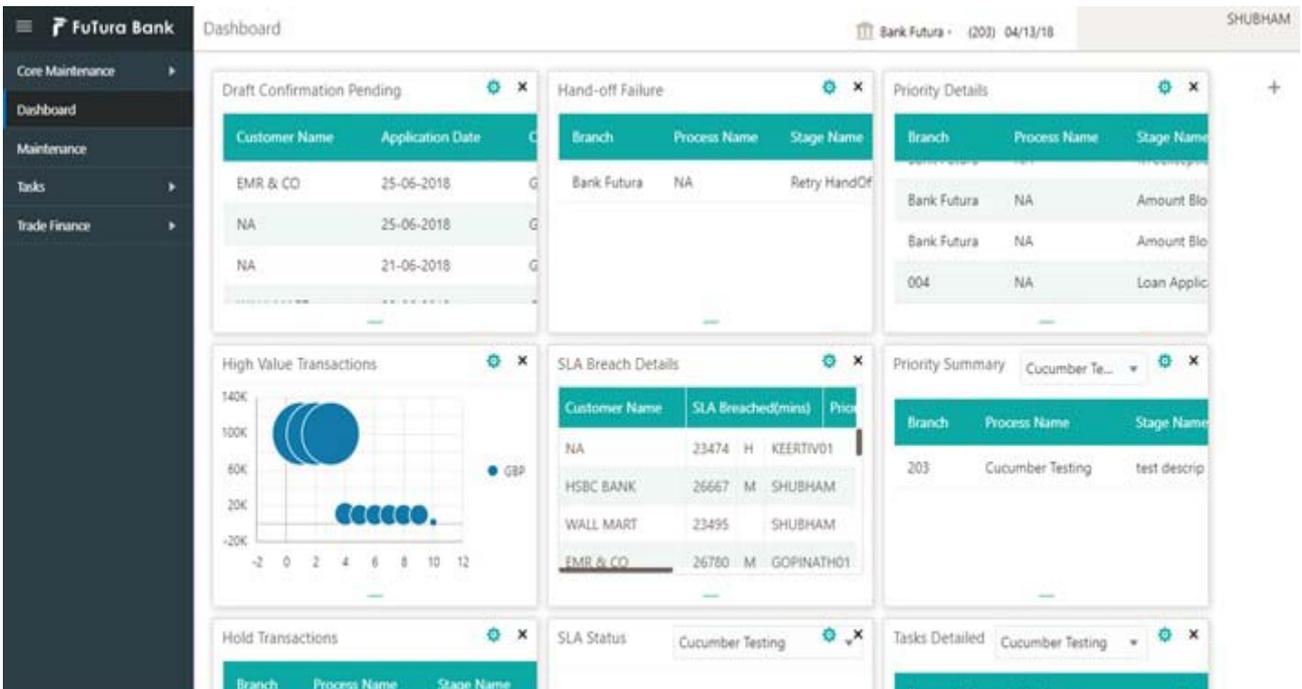
Data Enrichment is applicable only for non-discrepant drawings.

Do the following steps to acquire a task at Data enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Refresh Acquire Delegate Reassign Flow Diagram

| Action | Priority | Application Number | Branch | Customer Number | Amount | Process Name | Stage |
|----------------|----------|--------------------|--------|-----------------|------------|------------------------------|-----------------|
| Acquire & Edit | M | GS1ILCDR0023743 | GS1 | 000262 | £10,000.00 | Import LC Drawing | Data Enrichment |
| Acquire & Edit | M | GS1IDCBK0023966 | GS1 | 000263 | £1,000.00 | Import Documentary- Booki... | Approval1 |
| Acquire & Edit | M | GS1IDCBK0023934 | GS1 | 000262 | £13,500.00 | Import Documentary- Booki... | Approval1 |
| Acquire & Edit | M | GS1ELCDR0023919 | GS1 | 000262 | £1,000.00 | Export LC Drawing | Retry HandOff |
| Acquire & Edit | M | GS1ILCDR0023912 | GS1 | 000262 | £44,000.00 | Import LC Drawing | Approval1 |
| Acquire & Edit | | 203GTEIS0023910 | 203 | 000344 | £1,000.00 | Guarantee Issuance | Scrutiny |

Page 1 of 1 (1-10 of 10 items) Previous 1 - 10 of 3172 records Next

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Free Tasks

Refresh Acquire Delegate Reassign Flow Diagram

| Action | Priority | Application Number | Branch | Customer Number | Amount | Process Name | Stage |
|----------------|----------|--------------------|--------|-----------------|------------|------------------------------|-----------------|
| Acquire & Edit | M | GS1ILCDR0023743 | GS1 | 000262 | £10,000.00 | Import LC Drawing | Data Enrichment |
| Acquire & Edit | M | GS1IDCBK0023966 | GS1 | 000263 | £1,000.00 | Import Documentary- Booki... | Approval1 |
| Acquire & Edit | M | GS1IDCBK0023934 | GS1 | 000262 | £13,500.00 | Import Documentary- Booki... | Approval1 |
| Acquire & Edit | M | GS1ELCDR0023919 | GS1 | 000262 | £1,000.00 | Export LC Drawing | Retry HandOff |
| Acquire & Edit | M | GS1ILCDR0023912 | GS1 | 000262 | £44,000.00 | Import LC Drawing | Approval1 |
| Acquire & Edit | | 203GTEIS0023910 | 203 | 000344 | £1,000.00 | Guarantee Issuance | Scrutiny |

Page 1 of 1 (1-10 of 10 items) Previous 1 - 10 of 3172 records Next

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

My Tasks

Refresh Release Flow Diagram

| Action | Priority | Application Number | Branch | Customer Number | Amount | Process Name | Stage |
|--------|----------|--------------------|--------|-----------------|-------------|---------------------------|-----------------|
| Edit | M | GS1ILCDR0023743 | GS1 | 000262 | £10,000.00 | Import LC Drawing | Data Enrichment |
| Edit | H | GS1ILCIS0023732 | GS1 | 000262 | £11,000.00 | Import LC Issuance | Retry HandOff |
| Edit | M | GS1ILCUD0023730 | GS1 | 000343 | £110,000.00 | Import LC Update Drawings | Data Enrichment |
| Edit | | GS1ELCDR0023726 | GS1 | 000262 | £15,000.00 | Export LC Drawing | Registration |

Page 1 of 1 (1-4 of 4 items) Previous 1 - 4 of 4 records Next

The Data Enrichment stage has five sections as follows:

- Main Details
- Beneficiary Details
- Discrepancy Details
- Maturity Details
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Refer to [Main Details](#).

Beneficiary Details

Refer to [Beneficiary Details](#).

Discrepancy Details

Refer to [Discrepancy Details](#).

Maturity Details

Refer to [Maturity Details](#).

Additional Details

The screenshot shows the 'Additional Details' section of a FuTura Bank application. The application is titled 'Import LC Drawing - Data Enrichment' and is for application number '203ILCDR0018162'. The form is divided into several sections, each with a download icon:

- Revolving:**
 - Revolving : **No**
 - Revolving In :
 - Revolving Units :
- Limit & Collateral:**
 - Limit Currency :
 - Limit Contribution :
 - Limit Status :
 - Collateral Currency : **GBP**
 - Collateral Contribution : **5500**
 - Collateral Status : **Not Applicable**
- Charge Details:**
 - Charge :
 - Commission :
 - Tax :
 - Block Status :
- Maturity Details:**
 - Tenor : **Usance**
 - Tenor Basis : **120**
 - Maturity Date : **2018-12-13**
- Payment Details:**
 - Immediate Required : **Yes**
 - Immediate Accept : **No**
 - Reim Claimed : **No**
- Settlement Details:**
 - Component :
 - Account Number :
 - Account Currency :
 - Description :
- FX Linkage:**
 - Reference Number :
 - Currency :
 - Amount :
- Loan Preference:**
 - Loan Tenor : **1**
 - Loan Maturity : **2019-11-14**
 - Loan Amount : **20000**

At the bottom of the form, there are buttons for 'Reject', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'. The top navigation bar includes 'FuTura Bank', 'My Tasks', 'Bank Futura - Br... (203)', 'Apr 13, 2018', and 'SRIDHAR'. The left sidebar shows a navigation menu with 'Main Details', 'Beneficiary Details', 'Discrepancy Details', 'Additional Details' (selected), and 'Summary'. The top right corner shows 'Screen (4 / 5)'.

Revolving Details

Refer to [Revolving Details](#).

Limits & Collateral

Refer to [Limits & Collateral](#).

Charge Details

Refer to [Charge Details](#).

Payment Details

Provide payment details based on the description in the following table:

| Field | Description | Sample Values |
|--------------------------------|--|---------------|
| Immediate Liquidation Required | This toggle is applicable only for sight LC's and only if the drawings are without discrepancy. Switch on the toggle to enable immediate liquidation for the drawing. | |
| Immediate Acceptance Required | This toggle is applicable only for Usance/multi tenor drawings and only if the drawings are without discrepancy. Switch on the toggle if acceptance to be sent immediately. | |
| Reimbursing Bank | Read only field. Reimbursing bank details gets defaulted from the LC. | |
| Reimbursement Claimed | Switch on the toggle if the reimbursement is already claimed. This field is applicable only if reimbursement is applicable and LC has reimbursement bank details. | |
| Reimbursement Date | This field will be enabled only if Reimbursement Claimed is 'Yes'. Enables user to capture the reimbursement date. If reimbursement date is later than the branch date, system will display an error. | |

| Field | Description | Sample Values |
|--|---|---------------|
| Pre-shipment Credit Outstanding Amount | This field displays the pre-shipment credit outstanding amount. | |
| Pre-shipment Credit Repayment Amount | This field displays the pre-shipment credit repayment amount. | |

Settlement Details

Provide the settlement details based on the description in the following table:

The screenshot shows a window titled "Settlement Details" with a close button (X) in the top right corner. Below the title bar is a section header "Settlement Details" with a downward arrow. Underneath is a table with the following columns: Component, Currency, Account Description, Account Currency, Netting Indicator, and Amount. The table is currently empty and displays the message "No data to display." At the bottom right of the window, there are two buttons: "Save & Close" and "Cancel".

| Field | Description | Sample Values |
|---------------------|---|---------------|
| Component | Components gets defaulted based on the product selected. | |
| Currency | Application displays the default currency for the component. | |
| Debit/Credit | Application displays the debit/credit indicators for the components. | |
| Account Description | Application displays the description of the selected account. | |
| Account Currency | Application defaults the currency for all the items based on the account number. | |
| Netting Indicator | Application displays the applicable netting indicator. | |
| Amount | Amount for each component. This is populated from the transaction details of the drawing. | |

FX Linkage

This section enables the user to link the existing FX contract(s) to the drawing. User can link one or more FX deals to a drawing/bill. The linked value of an FX deal(s) must not exceed the value of the drawing/bill.

FX contract linkage with the Drawing/Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the drawing/bill.

Provide the FX linkage detail based on the description in the following table:

FX Linkage
✕

Drawing Currency Drawing Amount Drawing Maturity Date

| Contract Reference Number | Contract Currency | Contract Amount | Contract Available Amount | Linkage Amount | Rate | Amount in Contract Currency |
|---------------------------|-------------------|-----------------|---------------------------|----------------|------|-----------------------------|
| No data to display. | | | | | | |

Average FX Rate

✓ Save & Close ✕ Cancel

| Field | Description | Sample Values |
|---------------------------|--|---------------|
| Drawing Currency | Read only field. This field displays the currency details from the drawing. | |
| Drawing Amount | Read only field. This field displays the drawing amount from the drawing. | |
| Drawing Maturity Date | System to display the due date for the drawing in case of a Usance bill. In case of a sight bill, the system should display the current branch date as Maturity Date (for processing immediate payment). | |
| Contract Reference Number | Select the FX contract from the LOV. | |
| Contract Currency | This field displays the currency of the FX contract. | |
| Contract Amount | This field displays the amount of the FX contract. | |
| Contract Available Amount | This field displays the unlinked/ available amount under the FX contract. | |
| Linkage Amount | If FX contract is linked already for the underlying LC, system will display the amount linked. If FX contract is not linked, user can provide the Linkage amount. <div style="text-align: center;">  Note The linkage amount must not be more than the drawing amount. </div> | |
| Rate | This field displays the rate at which the contract is booked. | |

| Field | Description | Sample Values |
|-----------------------------|---|---------------|
| Amount in Contract Currency | This field displays the amount in contract currency converted from FX currency. | |
| Average FX Rate | Average rate for more than one contract if linked. | |

Loan Preference

This section enables the user to request for a loan to liquidate the drawing under the LC. This section will be enabled based on the product selected for booking the drawing under the LC.

Provide the loan preference details based on the description in the following table:

| Field | Description | Sample Values |
|--|--|---------------|
| Product | Read only field. This field displays the loan product linked to the drawing product. | |
| Customer ID | Read only field. This field displays the customer ID of the applicant/applicant bank. | |
| Customer Name | Read only field. This field displays the applicant/applicant bank name. | |
| Drawing Currency | Read only field. This field displays the currency for the drawing. | |
| Loan Amount Required in Drawing Currency | Application defaults the drawing outstanding amount and enables the user to reduce the amount. | |
| Credit Line | Enables the user to select the Line to be utilized. In case of multiple lines, user must be able to attach the required number of lines. | |
| Loan Tenor | Application defaults the loan tenor based on the product. | |

| Field | Description | Sample Values |
|----------------------|---|---------------|
| Exchange Rate | This field will be enabled only if the Drawing currency and Loan Currency are different. If FX linkage is available, system to display the Exchange rate from FX linkage. System will display the card rate, if FX linkage is not applicable. | |
| Loan Currency-Amount | Select the currency for the loan amount. | |
| Loan Maturity Date | System defaults the date based on the Loan value date and Loan tenor. User cannot change the value. | |

Summary

User can review the summary of details updated in Data Enrichment stage Import LC Drawing request.

The screenshot shows the 'Summary' page in the FuTura Bank system. The page title is 'Import LC Drawing - Data Enrichment :: Application No: GS1ILCDR0023743'. The left sidebar contains a navigation menu with 'Summary' selected. The main content area displays a grid of detail tiles:

- Main Details:** Product Code : ISLC, Currency : GBP, Amount : 10000
- Beneficiary Details:** Sequence Number 1 : , Sequence Number 2 :
- Discrepancy Details:** Discrepancy Code 1 : , Discrepancy Code 2 :
- Maturity Details:** Tenor Type : Sight, Tenor Basis : , Maturity Date :
- Additional Details (1):** Revolving : NO, Revolving In : , Revolving Frequency :
- Additional Details (2):** Limit Currency : GBP, Limit Contribution : 11000, Limit Status : Not Verified, Collateral Currency : GBP, Collateral Contr. : 1100, Collateral Status : Not Verified
- Additional Details (3):** Charge : , Commission : , Tax : , Block Status : Not Initiated
- Additional Details (4):** Immediate Liquidation: N, Immediate Accept : N, Reim Claimed : N
- Additional Details (5):** Component : , Account Number : , Amount :
- Additional Details (6):** Reference No. : , Contract Amount : , Linkage Amount :
- Additional Details (7):** Exchange Rate : , Loan Currency : , Loan Amount :
- Compliance:** KYC : Verified, Sanctions : Not Initiated, AML : Not Initiated
- Party Details:** Drawee : EMR & CO, Drawer : NESTLE, Advising Bank : CITIBANK NY, Presenting Bank : HSBC BANK

At the bottom of the page, there is a navigation bar with buttons: Reject, Hold, Cancel, Save & Close, Back, Next, and Submit.

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.

- Party Details - User can view party details like applicant, advising bank etc.
- Beneficiary Details - User can view the beneficiary response details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Revolving Details - User can view the revolving details.
- Maturity Details - User can view the maturity details.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------------|---|---------------|
| Submit | <p>Task will get moved to next logical stage of Import LC Drawing.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Cancel | Cancel the Data Enrichment stage inputs. | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |

Exceptions

The Import LC Drawing request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Application

Refer to [Application](#).

Amount Block Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|---------|---|---------------|
| Reject | <p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Refer | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others | |
| Cancel | <p>Cancel the Import LC Drawing Amount Block Exception check.</p> | |
| Approve | <p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p> | |
| Back | <p>Task moves to previous logical step.</p> | |

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Application

Refer to [Application](#).

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Refer | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance- Limits• R5 - Others | |

| Field | Description | Sample Values |
|---------|---|---------------|
| Cancel | Cancel the Import LC Drawing KYC exception check. | |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. | |
| Back | Task moves to previous logical step. | |

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Application

Refer to [Application](#).

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.

- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|---------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Refer | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others | |
| Cancel | <p>Cancel the Import LC Amendment Limit exception check.</p> | |
| Approve | <p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p> | |
| Back | <p>Task moves to previous logical step.</p> | |

Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Name
- Beneficiary Party
- Drawing Currency
- Drawing Amount
- Expiry Date

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the 'My Tasks' section of the FuTura Bank application. A modal window is open for re-keying application number 203ILCISS00000817. The modal contains the following fields:

- Applicant Party: 001345
- Currency: GBP
- Amount: £15,000.00
- Beneficiary Party: 001344
- Expiry Date: 08/17/18

Each field has a green checkmark indicating it is valid. The modal also includes 'Proceed' and 'Cancel' buttons. In the background, a table lists tasks with columns for Edit, Priority, Application Number, Amount, Process Name, and Stage.

| Edit | Priority | Application Number | Amount | Process Name | Stage |
|--------------------------|----------|--------------------|------------|--------------|-----------|
| <input type="checkbox"/> | M | 203ILCISS00000888 | £15,000.00 | Import LC | Approval1 |
| <input type="checkbox"/> | M | 203ILCISS00000817 | £99,999.00 | Import LC | Scrutiny |

Application

Refer to [Application](#).

Summary

Bank Futura - Br... (203) 04/13/18 SHRUTHI

Import LC Amendment - Approval1

Application :- 203ILCAM0017597

| Main Details | Party Details | Availability & Shipment | Payments |
|--|--|--|---|
| Form Of LC : REVOCABLE Submission Mode : Desk Date Of Issue : 4/13/2018 Date Of Expiry : 7/19/2018 Place Of Expiry : London | Applicant : XXX Beneficiary : XXX Advising Bank : XXX Confirming Bank : XXX | Available With : YOUR SELVES Available By : By Payment Port Of Loading : Port Of Discharge : Chennai | Period Of Present. : Confirmation Instr. : CONFIRM Advise Through Bank : |
| Amendment Details | Documents & Condition | Limits & Collaterals | Charge Details |
| Amount : 20000 Currency : GBP Date Of Expiry : 7/19/2018 Place Of Expiry : London Tolerance : | Document 1 : BOL Document 2 : MARDOC Document 3 : INSDOC | Limit Currency : GBP Limit Contribution : 20000 Limit Status : Available Collateral Currency : GBP Collateral Contribution : 2000 Collateral Status : Success | Charge : GBP 50 Commission : Tax : Block Status : Success |
| Revolving Details | Preview Messages | Compliance | |
| Revolving : No Revolving In : Revolving Frequency : | Confirm. Required : Yes Confirm. Response : Response Date : | KYC : Verified Sanctions : Verified AML : Verified | |

Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|---------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Refer | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others | |
| Cancel | Cancel the approval. | |
| Approve | <p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p> | |

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import LC Drawing in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Beneficiary Response - User can view beneficiary response details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Revolving Details - User can view revolving details on revolving LC.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|----------------|---|---------------|
| Reject Approve | On click of Reject Approve, the transaction is rejected. | |
| Reject Decline | On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks. | |
| Hold | User can put the transaction on 'Hold'. Task will remain in Pending state. | |
| Cancel | Cancel the Reject Approval. | |

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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